

B.C. Ziegler and Company
Business Continuity Plan Summary
December 10, 2025

B.C. Ziegler and Company ("Ziegler") has developed a Business Continuity Plan ("BCP"). Ziegler recognizes the importance of preparing for disaster scenarios and the importance of taking the necessary steps to provide for business continuity and contingency planning. Ziegler's BCP identifies how it will respond to disasters, including its ability to continue serving client needs and providing access to funds and securities.

Ziegler's BCP addresses the business units and information technology assets necessary to support operations critical to the firm. Ziegler maintains backup facilities that are equipped and designed to sustain its critical operations until it can return to its regular facilities or occupy new facilities. Ziegler's BCP as well as the necessary facilities and technology supporting it are regularly reviewed and adjusted as needed.

Ziegler's response to business disruptions will depend upon whether utilities and industry exchanges have been affected. In the event of any significant disaster, Ziegler will determine the appropriate course of action that will allow for efficient communication with clients and employees.

Ziegler has developed its BCP to ensure Ziegler can continue to effectively serve its clients, shareholders, and employees. Ziegler may from time to time amend or revise its BCP to support current business needs and developments.