

**B.C. Ziegler and Company**  
**Business Continuity Plan Summary**  
**September 2005**

B.C. Ziegler and Company (Ziegler) has developed a Business Continuity Plan. We recognize the importance of preparing for disaster scenarios and the importance of taking the necessary steps to provide for business continuity and contingency planning. Ziegler's Continuity Plan identified means by which the firm will respond to disasters including its ability to continue serving client needs and providing access to funds and securities.

Our Business Continuity Plan addresses the business units and information technology assets necessary to support operations critical to the firm. Ziegler maintains backup facilities which are equipped and designed to sustain our critical operations until we can return to our regular facilities or occupy new facilities. Our Plan, in addition to the necessary facilities and technology supporting it, are regularly reviewed and adjusted as necessary.

The firm's response to business disruptions will be dependent upon whether utilities and the industry exchanges have been affected. In the event of any significant disaster, the firm will bring together resources to determine the course of action that will allow for efficient communication to clients and employees, while also ensuring clients access to their funds and securities.

We have developed this Plan to ensure that our business operations will go on so that we can fully serve our clients, shareholders, and employees in good times and in bad times. While Ziegler believes the above to be a fair and accurate representation of its Business Continuity Plan, it may from time to time amend or revise the Plan as necessary to support current business needs and developments.